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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/055,442

01/23/2002

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30GF-9097

7941

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10/04/2006

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EXAMINER

NGUYEN, PHUOC H

ART UNIT

PAPER NUMBER

2143

DATE MAILED: 10/04/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

|                              |                                      |                                       |  |
|------------------------------|--------------------------------------|---------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/055,442 | <b>Applicant(s)</b><br>HUNTLEY ET AL. |  |
|                              | <b>Examiner</b><br>Phuoc H. Nguyen   | <b>Art Unit</b><br>2143               |  |

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 07 July 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-50 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-50 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. This communication is responsive to Amendment filed July 7, 2006.
2. Claims 1-50 are pending in this application. Applicant amended claims 1, 12, 23, and 32.

This Office Action is made final.

### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1-50 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-50 are rejected under 35 U.S.C. 103(a) as being obvious over Conway (U.S. 6,665,822) in view of Singhal (U.S. 6,256,666).

Re claim 1, Conway discloses in Figure 1, 3, and 5-6 an e-mail-enabled automation control module (ACM) system (e.g. Figure 3 and abstract) comprising: an ACM (e.g. any components within the networking equipment can be an ACM 16, 15, and 18 wherein each of these components are integrated and operated together as a unit); and an e-mail system (e.g. col. 2 lines 1-13 and col. 4 lines 19-24) electrically connected to ACM (e.g. further col. 3 lines 41-

Art Unit: 2143

68) and that is coupled to a backplane (e.g. 14 as a backplane switch), e-mail system (e.g. col. 5 lines 2-7) configured to perform at least one of sending e-mail messages from ACM through a network, and receiving e-mail messages from the network (e.g. general architecture in Figure 3). Conway fails to disclose the email system automatically control at least one device without user intervention. However, Singhal discloses in Figures 4-6 an email system (e.g. abstract and Figure 4) that automatically controls at least one device (e.g. Figure 4 as launch print fax...) without user intervention (e.g. Figure 6 wherein the email system is automatically done based on the pre-program command embedded in the email message). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to add a concept of an email system automatically control at least one device without user intervention as seen in Singhal's invention into Conway's invention because it would enable to process the command automatically and remotely on behalf of a user (e.g. col. 2 lines 27-36).

Re claim 2, Conway further discloses in Figure 1, 3, and 5-6 the e-mail messages include ACM data (e.g. col. 6 line 37 to col. 7 line 4).

Re claim 3, Conway further discloses in Figure 1, 3, and 5-6 the e-mail messages include ACM notifications (e.g. col. 6 line 37 to col. 7 line 4).

Re claim 4, Conway further discloses in Figure 1, 3, and 5-6 the e-mail messages include at least one of ACM data, and ACM notifications from at least one of another ACM and another device (e.g. col. 6 line 37 to col. 7 line 4 and Figure 6).

Re claim 5, Conway further discloses in Figure 1, 3, and 5-6 e-mail system comprises a network interface configured for connection to the network (e.g. 22 in Figure 1).

Re claim 6, Conway further discloses in Figure 1, 3, and 5-6 e-mail system comprises an e-mail client configured to send the e-mail messages through network interface and the network (e.g. Figure 3).

Re claim 7, Conway further discloses in Figure 1, 3, and 5-6 e-mail system comprises an e-mail server configured to perform at least one of receive the e-mail messages from the network (e.g. Figure 3), transfer ACM data to and from ACM, transfer ACM notifications to and from ACM, and receive and respond to e-mail transfer requests from the network (e.g. col. 6 line 37 to col. 7 line 4).

Re claim 8, Conway further discloses in Figure 1, 3, and 5-7 e-mail server comprises at least one mailbox configured to store at least one e-mail message, e-mail server further configured to allow a user that is connected to e-mail system through the network to perform at least one of read, modify, and delete the e-mail messages stored in at least one mailbox (e.g. Figure 7).

Re claim 9, Conway further discloses in Figure 1, 3, and 5-6 ACM comprises an ACM central processing unit (CPU) and a CPU system memory, CPU configured to execute ACM functions (e.g. col. 3 lines 64-68).

Re claim 10, Conway further discloses in Figure 1, 3, and 5-6 ACM comprises a backplane interface electrically connected to ACM and the backplane electrically connected to backplane interface, backplane configured for connection with at least one of an input/output (I/O) module and an input module (e.g. wherein the backplane is 14 and the I/O module can be 16 or 18 as desired).

Re claim 11, Conway further discloses in Figure 1, 3, and 5-6 e-mail system electrically connected to backplane (e.g. electrically run 10).

Re claim 12, Conway discloses in Figure 1, 3, and 5-6 a method for management and control of an automation control module (ACM), the ACM including an e-mail system electrically connected to the ACM and a network (e.g. Figure 3 and abstract), method comprising: sending e-mail messages from the first ACM through the network using the e-mail system (e.g. Figure 3 part 68 and 70); receiving e-mail messages from the network using the e-mail system (e.g. Figure 3 part 74 and 78); and requesting, by the first ACM, information via the e-mail system from a second ACM (e.g. 74), wherein the first ACM is coupled to a backplane (e.g. further col. 3 lines 41-68). Conway fails to disclose the email system automatically control at least one device without user intervention. However, Singhal discloses in Figures 4-6 an email system (e.g. abstract and Figure 4) that automatically controls at least one device (e.g. Figure 4 as launch print fax...) without user intervention (e.g. Figure 6 wherein the email system is automatically done based on the pre-program command embedded in the email message). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to add a concept of an email system automatically control at least one device without user intervention as seen in Singhal's invention into Conway's invention because it would enable to process the command automatically and remotely on behalf of a user (e.g. col. 2 lines 27-36).

Re claim 13, it has same limitations as cited in claim 6. Thus, claim 13 is also rejected under the same rationale as cited in the rejection of rejected claim 6.

Re claim 14, it has same limitations as cited in claim 2. Thus, claim 14 is also rejected under the same rationale as cited in the rejection of rejected claim 2.

Re claim 15, it has same limitations as cited in claim 3. Thus, claim 15 is also rejected under the same rationale as cited in the rejection of rejected claim 3.

Re claim 16, it has same limitations as cited in claim 6. Thus, claim 16 is also rejected under the same rationale as cited in the rejection of rejected claim 6.

Re claim 17, it has same limitations as cited in claim 2. Thus, claim 17 is also rejected under the same rationale as cited in the rejection of rejected claim 2.

Re claim 18, it has same limitations as cited in claim 3. Thus, claim 18 is also rejected under the same rationale as cited in the rejection of rejected claim 3.

Re claim 19, it has same limitations as cited in claim 7. Thus, claim 19 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 20, it has same limitations as cited in claim 7. Thus, claim 20 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 21, it has same limitations as cited in claim 7. Thus, claim 21 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 22, Conway further discloses in Figure 1, 3, and 5-6 granting a user on the network access to the e-mail server; and allowing the user to perform at least one of read, modify, and delete the e-mail messages (e.g. Figure 7 and col. 6 line 36 to col. 7 line 10 as registration process).

Re claim 23, it has same limitations as cited in claim 12. Thus, claim 23 is also rejected under the same rationale as cited in the rejection of rejected claim 12.

Re claim 24, it has same limitations as cited in claim 2. Thus, claim 24 is also rejected under the same rationale as cited in the rejection of rejected claim 2.

Re claim 25, it has same limitations as cited in claim 3. Thus, claim 25 is also rejected under the same rationale as cited in the rejection of rejected claim 3.

Re claim 26, it has same limitations as cited in claim 2. Thus, claim 26 is also rejected under the same rationale as cited in the rejection of rejected claim 2.

Re claim 27, it has same limitations as cited in claim 3. Thus, claim 27 is also rejected under the same rationale as cited in the rejection of rejected claim 3.

Re claim 28, it has same limitations as cited in claim 7. Thus, claim 28 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 29, it has same limitations as cited in claim 7. Thus, claim 29 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 30, Conway further discloses in Figure 1, 3, and 5-6 the system further comprises at least one other ACM electrically connected to the network (e.g. Figure 1 part 10), method further comprising: sending ACM data to the at least one other ACM through the network using the e-mail subsystem (e.g. Figure 3); and receiving ACM data from the at least one other ACM through the network using the e-mail subsystem (e.g. Figure 3 through an intermediary).

Re claim 31, Conway further discloses in Figure 1, 3, and 5-6 the system further comprises at least one other ACM electrically connected to the network, method further comprising: sending ACM notifications to the at least one other ACM through the network using



Art Unit: 2143

the e-mail subsystem; and receiving ACM notifications from the at least one other ACM through the network using the e-mail subsystem (e.g. col. 6 lines 65-68).

Re claim 32, Conway discloses in Figure 1, 3, and 5-6 an automation control module (ACM) system (e.g. Figure 3 and abstract) comprising: an ACM (e.g. any component within Figure 3); a network (e.g. Figure 3); a general purpose computer electrically connected to network (e.g. 78); and an e-mail subsystem electrically connected to network and ACM (e.g. 50 and 68), wherein ACM is coupled to a backplane (e.g. any components within the networking equipment can be an ACM 16, 15, and 18 wherein each of these components are integrated and operated together as a unit), e-mail subsystem configured to perform at least one of sending e-mail messages from ACM through network to general purpose computer and receiving e-mail messages from general purpose computer through network (e.g. Figure 3). Conway fails to disclose the email system automatically control at least one device without user intervention. However, Singhal discloses in Figures 4-6 an email system (e.g. abstract and Figure 4) that automatically control at least one device (e.g. Figure 4 as launch print fax...) without user intervention (e.g. Figure 6 wherein the email system is automatically done based on the pre-program command embedded in the email message). Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention is made to add a concept of an email system automatically control at least one device without user intervention as seen in Singhal's invention into Conway's invention because it would enable to process the command automatically and remotely on behalf of a user (e.g. col. 2 lines 27-36).

Re claim 33, it has same limitations as cited in claim 2. Thus, claim 33 is also rejected under the same rationale as cited in the rejection of rejected claim 2.

Re claim 34, it has same limitations as cited in claim 3. Thus, claim 34 is also rejected under the same rationale as cited in the rejection of rejected claim 3.

Re claim 35, Conway further discloses in Figure 1, 3, and 5-6 e-mail subsystem further configured to receive e-mail messages from network (e.g. 74 to 78).

Re claim 36, it has same limitations as cited in claim 7. Thus, claim 36 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 37, it has same limitations as cited in claim 7. Thus, claim 37 is also rejected under the same rationale as cited in the rejection of rejected claim 7.

Re claim 38, Conway further discloses in Figure 1, 3, and 5-6 e-mail subsystem further configured to receive and respond to e-mail transfer requests (e.g. Figure 3).

Re claim 39, Conway further discloses in Figure 1, 3, and 5-6 network is the Internet (e.g. by IP and col. 5 lines 49-65).

Re claim 40, it has same limitations as cited in claim 30. Thus, claim 40 is also rejected under the same rationale as cited in the rejection of rejected claim 30.

Re claim 41, it has same limitations as cited in claim 31. Thus, claim 41 is also rejected under the same rationale as cited in the rejection of rejected claim 31.

Re claim 42, Conway further discloses in Figure 1, 3, and 5-6 at least one other device electrically connected to network, e-mail subsystem further configured to: send e-mail messages to at least one other device through network; and receive e-mail messages from at least one other device through network (e.g. Figure 3 wherein the at least one other device can be FAMS 74).

Re claim 43, Conway further discloses in Figure 1, 3, and 5-6 e-mail subsystem embedded within ACM (e.g. 50).

Re claim 44, it has same limitations as cited in claim 10. Thus, claim 44 is also rejected under the same rationale as cited in the rejection of rejected claim 10.

Re claim 45, it has same limitations as cited in claim 43. Thus, claim 45 is also rejected under the same rationale as cited in the rejection of rejected claim 43.

Re claim 46, Conway further discloses in Figure 1, 3, and 5-6 e-mail subsystem electrically connected to backplane (e.g. 58 in Figure 3).

Re claim 47, Conway further discloses in Figure 1, 3, and 5-6 the at least one device is separate from ACM (e.g. empty slot 57).

Re claim 48, Conway further discloses in Figure 1, 3, and 5-6 the at least one device is coupled to ACM via the backplane (e.g. Figure 1).

Re claim 49, Conway further discloses in Figure 1, 3, and 5-6 the at least one device is coupled to ACM via the backplane and via an input/output module (e.g. I/O module can be empty slot 57).

Re claim 50, Conway further discloses in Figure 1, 3, and 5-6 the at least one device is coupled to ACM via the backplane, and the backplane is separate from ACM (e.g. Figure 1).

### ***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO**

Art Unit: 2143


MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Phuoc H. Nguyen whose telephone number is 571-272-3919. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wiley can be reached on 571-272-3923. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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